

First 5 Commission of San Diego

Subject: **Supplantation**

Policy Number: F5C-009

Effective Date: September 11, 2009

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Deleted: December 3, 2007

Purpose

To establish a policy for the First 5 Commission of San Diego (“Commission”) relating to supplanting and supplementing funds received by grantees, that ensures compliance with Health and Safety Code section 30131.4.

Background

The Commission funds programs and services for children ages zero to five and their families to help ensure that every child in San Diego County will enter school physically, mentally, socially and developmentally ready to learn. The Commission’s mandate is set forth in Health and Safety Code sections 130100 *et seq.*, San Diego County Administrative Code, Article III, sections 84.100 *et seq.*, and the Commission’s Strategic Plan.

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The Commission’s funding decisions are subject to the California Revenue and Taxation Code section 30131.4 which provides that:

30131.4. All moneys raised pursuant to taxes imposed by Section 30131.2 shall be appropriated and expended only for the purposes expressed in the California Children and Families Act, and shall be used only to supplement existing levels of service and not to fund existing levels of service. No moneys in the California Children and Families Trust Fund shall be used to supplant state or local General Fund money for any purpose.

The prohibition on supplantation was intended to prevent state and local governments from shifting fiscal responsibility for ongoing public programs to the State and local commissions. The prohibition makes no reference to privately funded or federally funded programs.

Policy

- A. No Commission funds shall be used to supplant local general fund money for any purpose. The intent is that Commission funds will be used to supplement existing efforts, support innovation, identify best practices and promote systems change.
- B. No Commission funds shall be granted or used for any existing project or program funded by local general funds unless the proponent demonstrates to the Commission’s satisfaction that the Commission’s funds will be used solely to supplement an existing project or program, and not to supplant existing funding.
- C. No Commission funds shall be granted or used for any existing project or program funded by State general funds unless the proponent demonstrates to the Commission’s satisfaction that the Commission’s funds will be used solely to supplement an existing project or program, and not to supplant existing funding. This prohibition shall not

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preclude the Commission from funding programs previously funded by the State, but for which State funding is no longer available.

D. This prohibition on supplantation does not apply to privately funded or federally funded programs.

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E. In order to encourage State and local governments to fund valuable innovations and pursue evidence-based practices, this policy shall not apply to pilot programs or services. The intent of this policy is to ensure that the Commission’s funding decisions comply with Section 30131.4 of the California Revenue and Tax Code.

Definitions

A. *Existing* means, with respect to a level of service, a service that is funded and operating at the time when the Commission acts on the request for funding.

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B. *State general funds* refers to funds that are received into the treasury of the State and not required by law to be credited to any other fund.¹

C. *Local general funds* refers to funds that are received into the treasury of the County of San Diego for the County of San Diego and not specially appropriated to any other fund.²

Comment [DS1]: This could be an issue if the Commission acts to fund a program before the State funding is eliminated, but with knowledge that it will be eliminated by the time the funding is put in place. We should discuss this issue.

D. *Level of service* includes both the quality and/or scope of services. The scope of a program may involve, but is not limited to, the number of individuals receiving services, geographic areas served, the income level eligible to participate, age levels served, etc.

E. *Supplant* means to take the place of or to replace.

F. *Supplement* means to add to or augment something that currently exists.

G. *Pilot* means, with respect to programs or services, those that are implemented on a temporary and limited basis in order to test and evaluate the effectiveness of the program, develop new techniques, or gather information.

Procedure

A. Funding proposals submitted to First 5 San Diego shall include a full disclosure of any private or government funding for the project submittal, including current funding or any past funding received within the past three years. Commission staff will review the funding history to examine relevant patterns and trends.

¹ See Gov. Code section 16300.

² See Gov. Code section 29301

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